

430 Rec'd PCT/PTO

09/508713

MAR 2000

PATENT

Attorney Docket No.: 84527-000000US

Client Reference No.: 2100709:PJT:SW

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re U.S. National Phase of  
PCT/AU98/00769 of:

GLEN NORMAN DICKINS, et al.

Application No.: Not yet assigned

Filed: Herewith

For: UTILIZATION OF FILTERING  
EFFECTS IN STEREO HEADPHONE  
DEVICES

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

San Francisco, CA 94111  
March 15, 2000

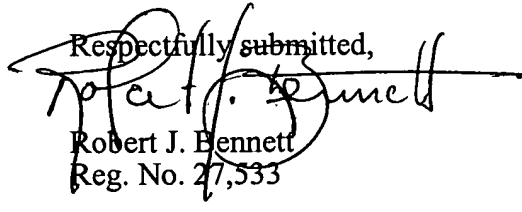
Box PCT  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Respectfully submitted,

  
Robert J. Bennett  
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SF 1077335 v1

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Attorney Docket No.: 84527-000000US		Application No.: Not yet assigned <b>09/508713</b>	
			Applicant: GLEN NORMAN DICKINS, et al.			
			Filing Date: Herewith		Group: Unassigned	
Reference Designation			U.S. PATENT DOCUMENTS			Page 1
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)
___ AA	5,371,799	12/6/1994	Lowe et al.			
___ AB	5,436,975	7/25/1995	Lowe et al.			
___ AC	5,809,149	9/15/1998	Cashion et al.			
___ AD						
___ AE						
___ AF						
___ AG						
___ AH						
___ AI						
___ AJ						
___ AK						
___ AL						
<b>FOREIGN PATENT DOCUMENTS</b>						
	Document No.	Date	Country	Class	Sub-class	Translation (Yes/No)
___ AM	195 14 105 A1	10/19/1995	Germany			
___ AN	43 32 504 A1	3/30/95	Germany			
___ AO	44 24 192 A1	1/26/1995	Germany			
___ AP						
___ AQ						
___ AR						
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>						
___ AS	Toole, Floyd E., "The future of stereo", in: <u>AUDIO</u> , June 1997, pp. 34-39					
___ AT	Flaherty, Nick, "3D audio: new directions in rendering realistic sound", in: <u>NOTEBOOK</u> , April 1998, pp. 49-50,52					
___ AU						
___ AV						
___ AW						
EXAMINER			DATE CONSIDERED			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.